

HAUGEN AND NIKOLAI, P.A.
900 SECOND AVENUE SOUTH, SUITE 820
MINNEAPOLIS, MN, U.S.A. 55402-3325
Telephone: (612) 339-7461 Fax: (612) 349-6556

612
RECEIVED
05 AUG 1997

Legal Staff
International Division

FACSIMILE TRANSMISSION

TO:

Name: Paulette Kidwell Fax No.: (703) 305-3230

Firm: United States Patent and Trademark Office - PCT Missing Parts

City: Washington State: DC Country:

FROM:

Name: Orrin M. Haugen, Esq. Fax No.: (612) 349-6556

HAUGEN AND NIKOLAI, P.A., 900 Second Ave. S., #820, Minneapolis, MN 55402, U.S.A.

DATE: July 30, 1997, 1997. TIME: 9:00 A.M. TOTAL PAGES incl. cover sheet: 18

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Telecopier Operator:


Dianne Kammerlander
Secretary to Orrin M. Haugen

MESSAGE:

Re: U. S. Serial No. 08/737,457; PCT Application PCT/GB95/01107;

U.S. Filing Date: November 13, 1996; PCT Filing Date: May 15, 1995

Attorney Docket No. 960670.ORI - ATTACHED ARE COPIES OF THE TRANSMITTAL
OF MISSING REQUIREMENTS OF APPLICATION AND POST CARD ACKNOWLEDGING
RECEIPT ON MARCH 12, 1997. IN THE ABOVE-IDENTIFIED APPLICATION.

Re App: Donald Leonard Nicholas Cardy et al. 
S.N. 08/737 157
Filed: November 13, 1996
For: IMPROVEMENTS IN OR RELATING TO PEPTIDE DELIVERY
International Application: PCT/GB95/01107
International Filing Date: 15 May 1995

Receipt is hereby acknowledged for the transmittal of Missing Requirements of Application mailed 2/12/97 together with a copy of the Notification of Missing Requirements, attached executed Supplemental Declaration, 3 executed Verified Statements Claiming Small Entity Status; check in the amount of \$65 to cover the surcharge, and Certificate of Mailing Via Express Mail under Label EM-418707360-US, all in connection with the above-identified patent application.

COMMISSIONER OF PATENTS AND TRADEMARKS

OMF/drk

Eclagen 960670.ORI

EXPRESS MAIL LABEL EM-418707360-US

PATENT APPLICATION

OUR FILE NO. 960670.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Donald Leonard Nicholas Cardy, et al.

S.N. : 08/737,457

March 12, 1997

Filed : November 13, 1996

International App. PCT/GB95/01107

International Filing Date: 15 May 1995

For : IMPROVEMENTS IN OR RELATING TO PEPTIDE DELIVERY
-----**EXPRESS MAIL CERTIFICATE**

Box: Missing Parts
The Commissioner of Patents and Trademarks
Washington, D. C. 20231

Sir:

"Express Mail" Label Number EM-418707360-US

Date of Deposit: March 12, 1997

I hereby certify that the foregoing TRANSMITTAL OF MISSING REQUIREMENTS OF APPLICATION with attached executed Supplemental Declaration, Power of Attorney and Petition, and three executed Verified Statements Claiming Small Entity Status (two by the Independent Inventors and one by the Small Business Concern), a copy of the Notification of Missing Requirements, and a check in the amount of \$65.00 to cover the surcharge for filing the late Declaration, all in response to the Notice of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office mailed February 12, 1997 in connection

EM418707360US

with the above-identified patent application, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box: Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,

HAUGEN AND NIKOLAI, P.A.

Dianne R. Kammerlander

Dianne R. Kammerlander
Secretary to Orrin M. Haugen
Attorney for Applicants
820 International Centre
900 Second Avenue South
Minneapolis, MN 55402
(612) 339-7461

Attachments

EXPRESS MAIL LABEL EM-418707360-US

PATENT APPLICATION

OUR FILE NO. 960670.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Donald Leonard Nicholas Cardy, et al.

S.N. : 08/737,457 March 12, 1997

Filed : November 13, 1996

International App. PCT/GB95/01107

International Filing Date: 15 May 1995

For : IMPROVEMENTS IN OR RELATING TO PEPTIDE DELIVERY

TRANSMITTAL OF MISSING REQUIREMENTS OF APPLICATION

Box Missing Parts
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Requirements Under 35 U.S.C. 371 in the United States Designation/Elected Office, mailed February 12, 1997 (copy attached), submitted herewith please find an executed Supplemental Declaration, Power of Attorney and Petition as well as three (3) executed Verified Statements Claiming Small Entity Status (two by Independent Inventors and one by the Small Business Concern).


Also enclosed is a check in the amount of \$65.00 to cover the surcharge for a small entity for the late filing of the Declaration. The Commissioner is authorized to charge any

additional fees or refund any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 08-1265.

It is felt that the Notification of a Defective Oath or Declaration has now been overcome and therefore it is requested that a formal filing receipt be issued as soon as possible.

Respectfully submitted,

HAUGEN AND NIKOLAI, P.A.


Orrin M. Haugen, Reg #17972
Attorneys for Applicants
820 International Centre
900 Second Avenue South
Minneapolis, MN 55402
(612) 339-7461

Encs.

EXPRESS MAIL LABEL EM-418707360-US

-1-

ATTORNEY FILE NO. 960569.ORI
SUPPLEMENTAL DECLARATION, POWER OF ATTORNEY, AND PETITION

As below named inventors, we hereby declare that: our residence, post office address and citizenship are as stated below next to our names; that we verily believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled "IMPROVEMENTS IN OR RELATING TO PEPTIDE DELIVERY", the specification of which was filed on November 13, 1996, as United States Application Serial No. 08/737,457, and which was the result of entering the National Phase in the United States to that certain Patent Cooperative Treaty application filed 15 May 1995 under PCT/GB95/01107.

We hereby state that we have reviewed and understand the contents of the above-referenced specification including the claims as amended by any amendment specifically referred to in the Oath or Declaration.

We acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by us on the same subject matter having a filing date before that of the application(s) on which priority is claimed:

-2-

Prior Foreign Application(s):

British Application Serial No. 94.09643.5 filed
13 May 1994; and
British Application Serial No. 94.17461.2 filed
31 August 1994.

We hereby appoint HAUGEN AND NIKOLAI, P.A., a professional association, consisting of the following attorneys/agents and the following attorneys/agents individually: Orrin M. Haugen, Registration No. 17,972, Thomas J. Nikolai, Registration No. 19,283, Charles G. Mersereau, Registration No. 26,205, Frederick W. Niebuhr, Registration No. 27,717, Catherine C. Maresh, Registration No. 35,268, Paul T. Dietz, Registration No. 38,858, and Jonathan D. Spangler, Registration No. 40,182 of 820 International Centre, 900 Second Avenue South, Minneapolis, Minnesota 55402-3325; Telephone No. (612) 339-7461, our attorneys/agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

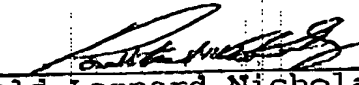
Please direct all phone calls and correspondence to:
Orrin M. Haugen at HAUGEN AND NIKOLAI, P.A., 820
International Centre, 900 Second Avenue South,
Minneapolis, Minnesota 55402-3325; Telephone (612) 339-
7461.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code

-3-

and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(1)


Donald Leonard Nicholas CardyDate: 3rd March 1997

Residence:

Aston-le-Walls,
Northamptonshire NN11 6 UF,
UNITED KINGDOM

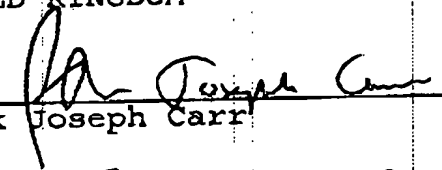
Citizenship:

British

Post Office Address:

"Trinlan"
Blacksmith's Lane
Aston-le-Walls,
Northamptonshire NN11 6 UF,
UNITED KINGDOM

(2)


Frank Joseph CarrDate: 3rd March 1997

Residence:

Balmedie, Aberdeenshire AB23 8XU
UNITED KINGDOM

Citizenship:

British

Post Office Address:

"Birchlea"
The Holdings
Balmedie, Aberdeenshire AB23 8XU
UNITED KINGDOM

EXPRESS MAIL BEL EM-418707360-US

-1-

PATENT APPLICATION

Attorney File 960670.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Donald Leonard Nicholas Cardy, et al.
S. N. : 08/737,457
Filed : November 13, 1996
For : IMPROVEMENTS IN OR RELATING
TO PEPTIDE DELIVERY

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS
CONCERN**

I hereby declare that I am

- ☐ owner of the small business concern identified below:
☒ an official of the small business concern empowered to
act on behalf of the concern identified below:

Eclagen Limited

A corporation of Great Britain

AURIS Business Centre

23 St. Machar Drive

Aberdeen, AB24 3RY, SCOTLAND

A Small Business Concern.

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 37 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or

-2-

parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled "IMPROVEMENT IN OR RELATING TO PEPTIDE DELIVERY" by inventors, Donald Leonard Nicholas Cardy and Frank Joseph Carr, described in the subject matter which is claimed and for which a patent is sought on the invention entitled "IMPROVEMENTS IN OR RELATING TO PEPTIDE DELIVERY", the specification of which was filed as PCT international application number PCT/GB95/01107 on 15 May 1995, and which was entered in the National Phase in the United States as Serial No. 08/737,457, filed November 13, 1996.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME Not applicable

ADDRESS

() Individual () Small Business () Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as

-3-

a small entity is no longer appropriate (37 CFR 1.28(b)).

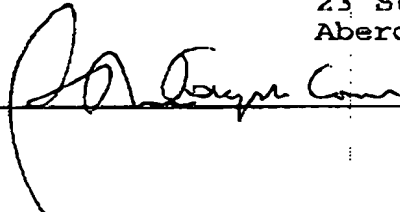
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Verified Statement is directed.

NAME OF PERSON SIGNING: Fredrik J. Lunde

TITLE OF PERSON IF OTHER THAN OWNER: Managing Director

ADDRESS OF PERSON SIGNING AURIS Business Centre
23 St. Machar Drive
Aberdeen, AB24 3RY, SCOTLAND

SIGNATURE



DATE 3 March 1997

EXPRESS MAIL BEL EM-418707360-US

-1-

PATENT APPLICATION

Attorney File 960670.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Donald Leonard Nicholas Cardy, et al.
S. N. : 08/737,457
Filed : November 13, 1996
For : IMPROVEMENTS IN OR RELATING
TO PEPTIDE DELIVERY

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the above-entitled invention described in the subject matter which is claimed and for which a patent is sought on the invention entitled "IMPROVEMENTS IN OR RELATING TO PEPTIDE DELIVERY", the specification of which was filed as PCT International Application number PCT/GB95/01107 on 15 May 1995 and entered into the National Phase in the United States under Serial No. 08/737,457, filed November 13, 1996.

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

Eclagen Limited
A corporation of the United Kingdom
AURIS Business Centre
23 St. Machar Drive
Aberdeen, AB24 3RY, SCOTLAND
A Small Business Concern.

-2-

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Verified Statement is directed.

Dated: 3 March 1997


Frank Joseph Carr

"Birchlea"

The Holdings

Balmedie, Aberdeenshire AB23 8XU

UNITED KINGDOM

EXPRESS MAIL LABEL EM-418707360-US

-1-

PATENT APPLICATION

Attorney File 960670.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Donald Leonard Nicholas Cardy, et al.
S. N. : 08/737,457
Filed : November 13, 1996
For : IMPROVEMENTS IN OR RELATING
TO PEPTIDE DELIVERY

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the above-entitled invention described in the subject matter which is claimed and for which a patent is sought on the invention entitled "IMPROVEMENTS IN OR RELATING TO PEPTIDE DELIVERY", the specification of which was filed as PCT International Application number PCT/GB95/01107 on 15 May 1995 and entered into the National Phase in the United States under Serial No. 08/737,457, filed November 13, 1996.

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

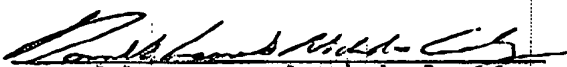
Eclagen Limited
A corporation of the United Kingdom
AURIS Business Centre
23 St. Machar Drive
Aberdeen, AB24 3RY, SCOTLAND
A Small Business Concern.

-2-

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Verified Statement is directed.

Dated: 31 March 1997


Donald Leonard Nicholas Cardy
"Trinlan"
Blacksmith's Lane
Aston-le-Walls, Northamptonshire
NN11 6UF, UNITED KINGDOM

08/737457



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

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EM-418707360-US

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

08/737.457

CARDY

D

960670.ORI

INTERNATIONAL APPLICATION NO.

5611

PCT/GB95/01107

I.A. FILING DATE

PRIORITY DATE

05/15/95

05/13/95

DATE MAILED:

02/12/97

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ A Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☐ a non-English language.
☒ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of Inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☐ Preliminary amendment(s) filed _____ and _____.

☐ Information Disclosure Statement(s) filed _____ and _____.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____.

☒ Verified Statement Claiming Small Entity Status.

☐ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

File Suppl. Decl.

March 12, 1997

Deleted
2-18-97
DK

OK



2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

- ☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE **MUST** BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time.

...may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☒ PCT/DO/EO/917
☐ PTO-875

☐ Notice of Defective Translation

FORM PCT/DO/EO/905 (September 1996)

Haulette Kidwell
Paralegal Specialist
Telephone: (703) 305-3656

08/737457


 UNITED STATES DEPARTMENT OF COMMERCE
 Patent and Trademark Office

 Address: ASSISTANT COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

 EXPRESS MAIL LABEL
 EM-418707360-US

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
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08/737.457

CARDY

INTERNATIONAL APPLICATION NO. 960670.001

 HAUGEN AND NIKOLAI, PA
 820 INTERNATIONAL CENTRE
 900 SECOND AVENUE
 MINNEAPOLIS MN 55402-3325

5611

I.A. FILING DATE	PRIORITY DATE
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05/15/95

05/13/94

02/12/97

DATE MAILED:

NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371 (c)(4) for entry into the national stage in the United States of America. The period within which to correct these requirements and avoid abandonment is set in the accompanying Office action.

A new oath or declaration, identifying this application by the international application number and international filing date is required. The oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

1. ☒ is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
2. ☐ does not identify the specification to which it is directed.
3. ☐ does not identify the inventor(s).
4. ☐ does not identify the citizenship of each inventor.
5. ☐ does not state the person making the oath or declaration believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b) WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.

Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:

1. ☐ does not identify the city and state or city and foreign country of residence or each inventor.
2. ☐ does not state that the person making the oath or declaration:
 - a. ☐ has reviewed and understands the contents of the specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
 - b. ☐ acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.
3. ☐ does not identify the foreign application for patent or inventor's certificate on which priority is claimed pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.
4. ☐ does not state that the person making the oath or declaration acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the date of the continuation in part application which discloses and claims subject matter in addition to that disclosed in the prior application (37 CFR 1.63(d)).

Paulette Kidwell P. Kidwell
Paralegal Specialist
Telephone: (703) 305-3656

FORM PCT/DO/EO/917 (September 1996)